JUL 15 2004 W

<u>Patent</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel YAP, et al. ) Re: Information Disclosure

Serial No.: 10/630,189 ) Statement ) Group: 2633

Filed: July 29, 2003 ) Examiner: not yet assigned

) Our Ref: B-4335NP 620890-7

For: "OPTICAL FREQUENCY MODULATED

TRANSMITTER"

) Date: July 7, 2004

Commissioner for Patents P.O. Box 1450 Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. Copies of the foreign patent documents and the non-patent publications listed on the enclosed Form PTO-1449 (modified) are enclosed herewith for the Examiner's convenience. Copies of the U.S. patent documents listed on the enclosed Form PTO-1449 (modified) are not enclosed, pursuant to Deputy Commissioner Stephen G. Kunin's Pre OG Notice dated July 11, 2003.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for the corresponding PCT application no. PCT/US03/37656 (7 pages). A copy of the International Search Report is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

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The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

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The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450",

on July 7, 2004 by Shana Morda.

Respectfully submitted,

Ross A. Schmitt Attorney for Applicant Reg. No. 42,529

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Enclosures: Form PTO-1449 (modified) (1 page)

Copy of Search Report for PCT/US03/37656 (7 pages) Copy of Non-U.S. Patent documents listed on Form PTO-

1449 (modified)

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Form PTO-1449 (Modified)	<b>ATTY DOCKET NO.</b> B-4335NP 620890-7	<b>U.S. SERIAL NO.</b> 10/630,189	
LIST OF PATENTS AND PUBLICATIONS	APPLICANTS Daniel YAP, et al.		
STATEMENT	FILING DATE July 29, 2003	<b>GROUP</b> 2633	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	4,649,351	3/1987	Veldkamp et al.	330	4.3	
	4,755,016	7/1988	Deloach, Jr. et al.	350	96.16	
	4.862.467	8/1989	Carter et al.	372	18	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Chow, W.W., "Phase Locking Of Lasers By An Injected Signal," Optics Letters, Vol. 7, No. 9, pp. 417-419 (September 1982).

Herczeld, P.R., et al., "Multiple Oscillator Locking Via Optical Link," *Proceedings of the European Microwave Conference*, Paris, pp. 578-583 (September 13, 1985).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.